

Jurisdiction and Rule Web address	Rule Number	Rule Language
<p><b>ARCI Model Rule</b> www.ua-rtip.org/industry/download_rules.html</p>	ARCI-008-01 (F)	Once a horse has been registered with the racing secretary of any race track association, no horse may be transferred to a new owner, unless claimed, without permission of a board of stewards who may require a bill of sale signed by both buyer(s) and sellers(s) and the transfer of ownership must be timely reported to the appropriate breed registry
<b>United States Flat Racing</b>		
<p><b>Arizona</b> http://www.azsos.gov/public_services/Title_19/19-02.htm</p>	R19-2-122 A (2)	The stewards shall be advised of any change in the ownership or trainer transfer of a horse registered with the racing secretary.
<p><b>Arkansas</b> www.dfa.arkansas.gov/offices/racingCommission/Pages/thoroughbreds.aspx</p>	2229	All ownerships in a horse, except a trainer's percentage of his winnings, shall be filed with the Racing Secretary before the horse shall start, as also shall every change in ownership thereafter during the Race Meeting
<p><b>California</b> http://www.chrb.ca.gov/query_rules_and_regulations_database.asp?form_query_action=browse_by_article</p>	1596	Any Board licensee acting as either seller or purchaser, or their agents or representatives, of a racehorse, prospective racehorse, stallion, or brood mare, which is sold while within the inclosure of a racing association or fair licensed to conduct a live racing meeting, shall immediately notify the stewards of such sale and transfer. The stewards shall require a completed Bill of Sale, CHRB Form-101 (3/97), which is hereby incorporated by reference, for any such sale or transfer. If a sale or transfer occurs at an auxiliary stabling facility, a Board-approved training facility, an authorized horse sale or any other location and is sold or purchased by a Board licensee, a completed CHRB Form-101 shall be submitted to the stewards at the nearest racing facility within three (3) calendar days of the sale or transfer. If the buyer and/or seller do not appear in person before the stewards and/or the stewards cannot independently verify the person's signature, a notarized Bill of Sale, CHRB Form-101, shall be required. CHRB Form-101 shall be available to the public at all Board offices. NOTE: Authority cited: Sections 19420, 19440, and 19525, Business and Professions Code. Reference: Sections 19420, 19440, and 19525, Business and Professions Code. HISTORY: 1. Amendment filed 6-20-96; effective 7-20-96. 2. Amendment filed 11-26-97; effective 12-26-97.
<p><b>Colorado</b> http://www.colorado.gov/cs/Satellite/Rev-Racing/RRAC/1209030659304</p>	7.100 7.212	After horses have been registered with the racing secretary, listing their owners, a horse will not be transferred (unless claimed at the meet) without permission of the stewards who will require a notarized bill of sale or the proper transfer authorization./The entry of a horse must be in the name of its true ownership. Registration certificates and leases of horses shall be filed with the racing secretary within forty-eight (48) hours of the horse's arrival at the racetrack. All transfers of ownership of horses racing at a meet shall be approved by the stewards.
<p><b>Delaware</b> http://regulations.delaware.gov/AdminCode/title3/1000/1001/index.shtml#TopOfPage</p>	6.1.5	Each licensed owner is responsible for disclosure to the Commission or its designee of the true and entire ownership of each of his/her horses registered with the racing secretary. Any change in ownership or trainer of a horse registered with the racing secretary shall be approved by the stewards. Each owner shall comply with all licensing requirements.
<p><b>Florida</b> www.myfloridalicense.com/dbpr/pmw/statutes.html</p>		not found

<b>Idaho</b> <a href="http://adm.idaho.gov/adminrules/rules/idapa11/11index.htm">http://adm.idaho.gov/adminrules/rules/idapa11/11index.htm</a>	11.04.14 80.02	Authorized Agents are responsible for disclosure of the true and entire ownership of each horse for which they have authority. Any change in ownership must be reported immediately to, and approved by, the stewards and recorded by the racing secretary.
<b>Illinois</b> <a href="http://www.state.il.us/agency/irb/">www.state.il.us/agency/irb/</a>	1409.50/1409.70	Any change in ownership of a horse or horses or leasehold or other interest therein shall be immediately made in Registration Book and additional affidavit filed if necessary./The Registration Clerk shall furnish the stewards and the Board each day, a complete list of any changes in ownership, leasehold interest, or trainer of any horse or horses
<b>Indiana</b> <a href="http://www.in.gov/legislative/iac/title71.html">www.in.gov/legislative/iac/title71.html</a>	71 IAC 5.5-2-1 (e)	Each licensed owner and trainer is responsible for disclosure to the commission or its designee of the true and entire ownership of each of his or her horses registered with the racing secretary. Any change in ownership of a horse registered with the racing secretary shall be approved by the stewards. Each owner shall comply with all licensing requirements.
<b>Iowa</b> <a href="http://www.state.ia.us/irgc/Content.htm#top">www.state.ia.us/irgc/Content.htm#top</a>	491—10.5(1) a.(8)	Any change in ownership shall be reported immediately to, and approved by, the stewards and recorded by the racing secretary. The disclosure, together with all written agreements and affidavits setting out oral agreements pertaining to the ownership for or rights in and to a horse, shall be attached to the registration certificate for the horse and filed with the racing secretary.
<b>Kansas</b> <a href="http://krgc.ks.gov/index.php?id=25">http://krgc.ks.gov/index.php?id=25</a>	112-7-5 (c)	Each sale or transfer of a horse located at a racetrack facility shall not be final until approved by the stewards
<b>Kentucky</b> <a href="http://www.khrc.ky.gov/rulesregs/">www.khrc.ky.gov/rulesregs/</a>	810 KAR 1:007. section 3 (6)	The stewards shall review the ownership of each horse entered to race to insure that each registration certificate is properly endorsed by the transferor to the present owner. The stewards may determine the validity for racing purposes of all leases, transfers, and agreements pertaining to ownership of a horse and may call for adequate evidence of ownership at any time. The stewards may declare ineligible to race any horse, the ownership or control of which, is in question.
<b>Louisiana</b> <a href="http://horseracing.la.gov/rules.html">http://horseracing.la.gov/rules.html</a>	section 4501 (A)	After a horse has been registered with the racing secretary, listing the owner, no horse will be transferred, unless claimed, without permission of the stewards and a notarized bill of sale from the registered owner. However, the stewards may, at their discretion, allow sellers 72 hours within which to file the notarized bill of sale.
<b>Maryland</b> <a href="http://www.dsd.state.md.us/comar/subtitle_chapters/09_Chapters.aspx#Subtitle10">www.dsd.state.md.us/comar/subtitle_chapters/09_Chapters.aspx#Subtitle10</a>	09.10.01.16 B(1)	After a horse has been registered with the Racing Secretary, listing its owner, unless claimed at the meeting, the entry of the horse on behalf of a person other than the registered owner is not permitted until the stewards receive proof of a change in ownership.
<b>Massachusetts</b> <a href="http://www.mass.gov/?pageID=ocasubtopic&amp;L=5&amp;L0=Home&amp;L1=Government&amp;L2=Our+Agencies+and+Divisions&amp;L3=State+Racing+Commission&amp;L4=Rules+and+Regulations&amp;sid=Eoca">www.mass.gov/?pageID=ocasubtopic&amp;L=5&amp;L0=Home&amp;L1=Government&amp;L2=Our+Agencies+and+Divisions&amp;L3=State+Racing+Commission&amp;L4=Rules+and+Regulations&amp;sid=Eoca</a>	4.13	<b>When a person is ruled off a course or suspended</b> , every horse owned in whole or in part by him shall be ineligible to be entered or to start in any race until said horse has been reinstated either by the rescinding of his owner's penalty or his transfer through bona fide sale to an ownership acceptable to the Stewards.
<b>Michigan</b> <a href="http://www.michigan.gov/mgcb/0,1607,7-120-55140_46494-168787--,00.html">www.michigan.gov/mgcb/0,1607,7-120-55140_46494-168787--,00.html</a>	R431.3025(1) (d)(i)	In addition to the general powers specified in these rules, the stewards shall have all of the following specific duties and responsibilities: Reviewing registration certificates, contracts, papers, and other documents pertaining to any of the following: The sale or ownership of a horse.

<p><b>Minnesota</b> <a href="http://www.mrc.state.mn.us/racing_rules.htm">www.mrc.state.mn.us/racing_rules.htm</a></p>	<p>7879.0200 subpart 2E</p>	<p>Specific duties and responsibilities of stewards. In addition to the duties and responsibilities necessary and pertinent to general supervision, control, and regulation of race meetings, and without limiting the authority of the stewards to perform those and all other duties listed in this part, the stewards shall have the following specific duties and responsibilities: To review all license applications, registration certificates, contracts, papers, and other documents pertaining to the sale or ownership of a horse, payment of purse money, appointments of agents, and applications for racing colors or stable name.</p>
<p><b>Montana</b> <a href="http://www.mtrules.org/gateway/ChapterHome.asp?Chapter=32.28">www.mtrules.org/gateway/ChapterHome.asp?Chapter=32.28</a></p>	<p>32.28.801(23)</p>	<p>All ownerships in a horse, except a trainer's percentage of his winnings, shall be filed with the racing secretary, before the horse shall start, as shall every change in ownership thereafter during the meeting.</p>
<p><b>Nebraska</b> <a href="http://www.horseracing.state.ne.us/">www.horseracing.state.ne.us/</a></p>	<p>8.002</p>	<p>No horse entered in a race may be sold or transferred until after the race in which it is entered has been run, without permission of the stewards. Neither shall a horse so entered be transferred to another trainer until after the race in which it is entered has been run, without permission of the stewards.</p>
<p><b>Nevada</b> <a href="http://gaming.nv.gov/stats_regs.htm#regs">http://gaming.nv.gov/stats_regs.htm#regs</a></p>	<p>30.290 (5)</p>	<p>Each licensed owner is responsible for disclosure to the board or its designee of the true and entire ownership of each of the owner's horses registered with the racing secretary. Any change in ownership or trainer of a horse registered with the racing secretary shall be approved by the board of stewards. Each owner shall comply with all licensing requirements.</p>
<p><b>New Jersey</b></p>	<p>////////////////</p>	<p>////////////////////////////////////</p>
<p><b>New Mexico</b> <a href="http://nmrc.state.nm.us/dyn/rules_reg_00.html">http://nmrc.state.nm.us/dyn/rules_reg_00.html</a></p>	<p>16.47.1.9 A.(5)</p>	<p>Licensed owners are responsible for disclosure of the true and entire ownership of each of their horses registered with the racing secretary. Any change in ownership or trainer of a horse registered with the racing secretary must be approved by the stewards. A new owner must comply with all licensing requirement</p>
<p><b>New York</b> <a href="http://www.racing.state.ny.us/racing/racing.home.htm1">www.racing.state.ny.us/racing/racing.home.htm1</a></p>	<p>4024.1.(f)</p>	<p>Upon transfer of any interest in a horse by sale, lease, claim or otherwise, it shall be the responsibility of the transferee managing owner to register such change in the Owners Registry with The Jockey Club and to cause the stewards at each track to be informed before that horse starts at such track.</p>
<p><b>North Dakota</b> <a href="http://www.legis.nd.gov/information/acdata/html/Title69.5.html">www.legis.nd.gov/information/acdata/html/Title69.5.html</a></p>	<p>69.5-01-05-24</p>	<p>Each transfer of horse ownership at a meeting must be approved by the stewards, recorded with the applicable horsemen's organization and promptly reported to the racing secretary and the commission.</p>
<p><b>Ohio</b> <a href="http://codes.ohio.gov/oac/3769">http://codes.ohio.gov/oac/3769</a></p>	<p>3769-5-21 (B)</p>	<p>No change in trainers of a horse, entered and drawn to start, will be permitted. In the event of such change the horse will be scratched and the parties responsible therefor shall be subject to fine or suspension. A change in ownership may be permitted with the approval of the stewards.</p>
<p><b>Oklahoma</b> <a href="http://www.ohrc.org/rulesonline.html">www.ohrc.org/rulesonline.html</a></p>	<p>325:25-1-15.</p>	<p>Both the seller and the purchasers or their agents or representative of a horse which is sold within the enclosure shall immediately notify the stewards and the racing secretary of such sale or transfer</p>

<p><b>Oregon</b>  <a href="http://arcweb.sos.state.or.us/pages/rules/oars_400/oar_462/462_tofc.html">http://arcweb.sos.state.or.us/pages/rules/oars_400/oar_462/462_tofc.html</a></p>	<p>462-150-0020 (2)</p>	<p>After a horse has been registered with the racing secretary, it may not be transferred (unless claimed during the race meet) without permission of the stewards. A notarized bill of sale from the registered owner may be required before the stewards will give permission for the change of ownership, unless a commission official witnesses the signing of the bill of sale. The share of a part owner of any horse may not be sold or assigned without the consent of the other owners. The commission and/or stewards may declare a horse ineligible to race if the ownership or control of the horse is in question. Ownership changes may be made after entry at the discretion of the Board of Stewards, however, no horse may start in a race without the proper and current owner(s) listed on the foal certificate</p>
<p><b>Pennsylvania</b>  <a href="http://www.pacode.com/secure/data/058/partIVtoc.html">http://www.pacode.com/secure/data/058/partIVtoc.html</a></p>	<p>163.105.(a)/163.252</p>	<p>A horse is not permitted to start whose name and true ownership is not registered with the racing secretary. Transfers and sales shall be accurately recorded on the foal certificate at the time of the transfer or sale. Sales and transfers shall be deemed to be for consideration and to be clear except for encumbrances expressly noted on the bill of sale form approved by the Commission. A protest or claim may not be considered unless stated in detail upon the bill of sale./When a horse is claimed, sold or transferred, the transfer authorization shall be filled out in triplicate and filed with the Commission, stewards and racing secretary. This form will be furnished by the Commission.</p>
<p><b>South Dakota</b>  <a href="http://legis.state.sd.us/rules/DisplayRule.aspx?Rule=20:04">http://legis.state.sd.us/rules/DisplayRule.aspx?Rule=20:04</a></p>	<p>20:04:24:33.01.</p>	<p>A transfer of the ownership of a horse, including a claimed horse, during a race meet must be approved by the presiding state steward or the executive secretary.</p>
<p><b>Texas</b> <a href="http://www.txrc.state.tx.us/laws/racing_rules.php">www.txrc.state.tx.us/laws/racing_rules.php</a></p>	<p>Title 16 Part 8  chapter 311  Section 311.101  (c) (1)</p>	<p>Change of Ownership.  If the owner of an interest in a horse housed on an association's grounds transfers that interest to another person, both parties to the transaction shall give written notice of the transfer to the stewards officiating for that association. Notice under this section must be submitted to the appropriate officials not later than 24 hours after the agreement to transfer the interest is made.</p>
<p><b>Virginia</b> <a href="http://www.vrc.virginia.gov/racingrules.shtml">www.vrc.virginia.gov/racingrules.shtml</a></p>	<p>11VAC10-60-40c.3.</p>	<p>Registration of ownership. Every certificate of registration or eligibility paper shall reflect the true ownership of the horse, and the ownership of the horse printed in the daily program shall conform to the ownership listed on the certificate of registration or eligibility paper. In the event of a change in ownership of a horse, it shall be the responsibility of the new owner to record the change in ownership with the racing secretary.</p>
<p><b>Washington</b> <a href="http://www.whrc.wa.gov/?page=400">www.whrc.wa.gov/?page=400</a></p>	<p>260-28-070 (2)</p>	<p>If ownership changes during the race meet, the new owner must notify the commission.</p>
<p><b>West Virginia</b>  <a href="http://www.wvracingcommission.com/wvrc_010.htm">www.wvracingcommission.com/wvrc_010.htm</a></p>	<p>section 178-1-26.3.d</p>	<p>Each trainer is responsible for the disclosure of the true and entire ownership of each horse in his or her care, custody or control and immediately reporting any change in ownership to the stewards for approval and to the association's racing secretary for recording.</p>
<p><b>Wyoming</b>  <a href="http://parimutuel.state.wy.us/Rules.aspx">http://parimutuel.state.wy.us/Rules.aspx</a></p>	<p>Chapter 8 section 4(a) (i)</p>	<p>No horse entered in a race may be sold or transferred until after the race in which he is entered has been run without permission of the Stewards. Neither shall a horse that is entered be transferred to another trainer until after the race in which he is entered has been run without permission of the Stewards.</p>
<p>Footnotes  <b>Canadian Flat Racing</b></p>		<p>Red text represents those states that only have guide lines for transfer in ownership if there is action taken against their owners license</p>

<b>Alberta</b> <a href="http://www.thehorses.com/industry/about/documents">www.thehorses.com/industry/about/documents</a>		
<b>British Columbia</b> <a href="http://www.hsd.gov.bc.ca/gaming/horse-racing/index.htm#three">www.hsd.gov.bc.ca/gaming/horse-racing/index.htm#three</a>		
<b>Canadian Pari-mutuel Agency</b> <a href="http://laws.justice.gc.ca/eng/SOR-91-365/20100521/index.html?rp2=SEARCH&amp;rp3=SI&amp;rp1=Racing&amp;rp4=any&amp;rp9=cr&amp;rp10=L&amp;rp13=50">http://laws.justice.gc.ca/eng/SOR-91-365/20100521/index.html?rp2=SEARCH&amp;rp3=SI&amp;rp1=Racing&amp;rp4=any&amp;rp9=cr&amp;rp10=L&amp;rp13=50</a>		
<b>Manitoba</b> <a href="http://www.manitobahorsecomm.org/rules.html">www.manitobahorsecomm.org/rules.html</a>		
<b>Ontario</b> <a href="http://www.ontarioracingcommission.ca/resources.aspx?id=141">www.ontarioracingcommission.ca/resources.aspx?id=141</a>		
<b>Saskatchewan</b>	////////////////////	////////////////////////////////////