ARCI-015-015 Licensees

A. Kennel Operators

- (1) A licensed kennel operator shall register with the Commission and pay the prescribed fee to race under a licensed kennel name.
- (2) All persons represented by a kennel name are required to have greyhound owners' licenses.
- (3) The Commission may require all persons represented by a kennel name to sign an authorized agent's application which appoints one person to act as the agent for the kennel name. Otherwise, notification of the designated contract representative for the kennel name shall be made to the Commission.
- (4) All kennel operators must file with the Commission and the association a roster of his/her trainer, assistant trainers, authorized agent and any other employees. The kennel operator must immediately report any trainer changes to the judges and racing secretary. The new trainer(s) and/or any new employees shall then sign the owner's filed roster.
- (5) A trainer, who is also a licensed greyhound owner or part owner, may use a kennel name. However, no trainer may be licensed as trainer other than in his/her legal name.
- (6) If the kennel name represents a corporation:
 - (a) The corporation shall register to do business according to the laws of this jurisdiction;
 - (b) The corporation shall submit a complete list of stockholders and the number of shares owned by each stockholder whose ownership exceeds ten percent of the total number of shares issued by the corporation;
 - (c) The corporation shall notify the Commission if any change of stock ownership occurs which exceeds ten percent of the total number of shares issued by the corporation; and
 - (d) The corporate name under which the corporation does business in this jurisdiction shall be considered a kennel name for purposes of these rules.
- (7) A kennel name other than a corporate kennel may be changed at any time by registering a new kennel name and by paying the fee set forth in these rules.
- (8) A kennel name must be plainly distinguishable from any other kennel name licensed in this jurisdiction and any other kennel name registered with the National Greyhound Association.
- (9) A licensed owner shall not register any kennel name the Commission determines to be misleading to the public or unbecoming to the sport.
- (10) Unless otherwise permitted by the Commission, a licensed greyhound owner shall not be a party to more than one kennel name at the same time.
- (11) A licensed owner shall not register the real name of any other owner of greyhounds racing nor one which is the real or kennel name of any prominent person not owning greyhounds as his/her kennel name.
- (12) All kennel operators must provide the racing secretary, within _____ of the end of the race meeting or the greyhound's removal from racing at the race meeting, a written notification of the disposition of each greyhound that raced during the race meeting. Such notification must include: the name of the track to which the greyhound was moved; the adoption agency with which the greyhound was placed; if the greyhound was euthanized, the name of the veterinarian who euthanized it; and/or such other information necessary for the

judges to determine the disposition of the greyhound.<u>A kennel operator or trainer of record</u> must account for the movement or removal of any greyhound for which official NGA registration certificate was submitted to the association. Such notification must adhere to one of the following criteria.

(a) The greyhound was moved to another racing facility. (specify track)

- (b) The greyhound was placed with a recognized adoption agency or group. (specify agency and location)
- (c) The greyhound was returned to his/her listed owner. (specify circumstances and location)
- (d) A veterinary record that the greyhound is deceased. (Disclosure of the circumstances of the greyhound's death or notation that the greyhound was euthanized humanely due to serious medical condition or catastrophic injury.)

Judges will regularly review the records and determine that the disposition is in compliance with the listed conditions.

B. Greyhound Owner

- (1) A greyhound owner's license is required of any person who is designated as an owner or lessee on the greyhound's National Greyhound Association registration papers, and of every person who has a right to receive any share of a purse won by a greyhound in this jurisdiction except kennel operators and their employees who are licensed in this jurisdiction.
- (2) The spouse of an owner does not need to be licensed unless the spouse's name appears on the greyhound's National Greyhound Association registration papers.
- (3) If a person is suspended or ejected from the association grounds, every greyhound wholly or partly owned by such person may also be suspended or ejected for the duration of such suspension or ejection. Any entry received from any person, or of any greyhound that stands suspended or expelled shall be void unless otherwise approved by the Commission.

C. Emergency License (Greyhound Owner)

- (1) The Commission may issue an emergency license if an owner is unable to complete an application for an owner's license because of absence or illness. The licensed trainer desiring to enter a greyhound in a race may apply for an emergency owner's license on behalf of the absent owner.
- (2) The trainer applying for an emergency owner's license must submit a written statement with the license application specifying the reasons the owner is unable to complete the application.
- (3) The trainer applying for an emergency owner's license must submit at least the following information: the owner's full name, home and/or business address, telephone number and social security number. At the time of application, the appropriate licensing fee must be paid to the Commission. Failure to provide all of the foregoing information is grounds for denial of an emergency owner's license.
- (4) Not later than the _____ day after the emergency owner's license is issued, the owner must submit a properly completed owner's application, fingerprint card and fingerprint fee, if applicable. Failure to provide the forgoing information is grounds for suspension of the emergency owner's license and other disciplinary action against the owner or trainer. In

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addition, if the required information is not submitted due to an act or omission on the part of the trainer, the trainer may also be subject to disciplinary action.

D. Trainers

- (1) A trainer's license is required of any person designated as the trainer of record for each licensed kennel operator.
- (2) The trainer shall be responsible for and be the absolute insurer of the condition of the greyhounds he/she enters, regardless of the acts of third parties. The trainers are presumed to know the rules of greyhound racing as adopted by the Commission.
- (3) Every trainer who does not have his/her greyhound at the weighing-in room promptly at the time appointed may have the greyhound scratched and may be subject to disciplinary action.
- (4) When a trainer is to be absent from his/her kennel for 24 hours or more, the kennel operator or trainer shall notify the judges of the licensed trainer or licensed assistant trainer who will assume complete responsibility for the greyhounds of the kennel.

E. Partnerships

- (1) Each of the partners or shareholders owning <u>percent partnership or shares is required to be licensed as a greyhound owner and the rules covering partnerships must be complied with.</u>
- (2) All partnerships/corporations must be registered with the Commission. The name and address of every person having any interest in the partnership, the relative proportions of such interest and the terms of any sales with contingencies or arrangements must be signed by all parties or by their authorized agents and be filed with the racing secretary. All partners shall be jointly and severally liable for all stakes, forfeits and other debts of the partnership.
- (3) All statements of partnerships/corporations or sales with contingencies or arrangements shall be filed with the racing secretary and shall declare to whom winnings and/or profits are payable, the name used for the partnership/corporation and who is the authorized agent for the partnership/corporation.
- (4) Any changes in owners in the partnership/corporation must be immediately reported to the racing secretary. The new owner(s) shall obtain a license as required by the Commission.

F. Authorized Agents

- (1) Each authorized agent shall obtain a license from the Commission.
- (2) A written instrument signed by the kennel operator which clearly sets forth among the delegated powers whether or not the agent is empowered to collect money from the association shall accompany the license application.
- (3) If the written instrument is a power of attorney, it shall be filed permanently with the racing secretary. However, if the kennel operator properly delegates power on the application for a license, then the application shall be in duplicate with both copies signed and sworn to before a notary public or a Commission employee and one copy filed permanently with the racing secretary.
- (4) An authorized agent may appoint a sub-agent only when specifically authorized to do so by the written instrument signed by the owner. Written notice of the appointment must be filed immediately with the Commission and the racing secretary.

- (5) Any changes in the power delegated by the kennel operator to the authorized agent must be in writing, sworn to before a notary public or a Commission employee and filed with the Commission and the racing secretary.
- (6) A greyhound owner's revocation of an authorized agent's authority must be in writing, sworn to before a notary public or a Commission employee and filed with the Commission and the racing secretary.
- (7) The term of the authorized agent's license shall be determined by the Commission unless the agent's appointment is revoked by the greyhound owner or the greyhound owner's or authorized agent's license is revoked by the Commission.

Adopted in Version 1.4 ARCI 8/27/02 NAPRA 10/2/02 Amended Version 4.5 to 4.6 ARCI Board 7/31/09; modified language in Kennel Operator