

Jurisdiction and Rule Web address	Rule Number	Rule Language
<b>ARCI Model Rule</b> <a href="http://www.ua-rtip.org/industry_service/download_model_rules">http://www.ua-rtip.org/industry_service/download_model_rules</a>	ARCI-008-015 A. (5)	Each licensed owner is responsible for disclosure to the Commission or its designee of the true and entire ownership of each of his/her horses registered with the racing secretary. <b>Any change in ownership</b> or trainer of a horse registered with the racing secretary <b>shall be approved by the stewards</b> . Each owner shall comply with all licensing requirements.
<b>United States Flat Racing</b>		
<b>Arizona</b> <a href="http://www.azsos.gov/public_services/Title_19/19-02.htm">http://www.azsos.gov/public_services/Title_19/19-02.htm</a>	R19-2-122 A. 2.	The <b>stewards shall be advised of any change in the ownership</b> or trainer transfer of a horse registered with the racing secretary.
<b>Arkansas</b> <a href="http://www.dfa.arkansas.gov/offices/racingCommission/Pages/thoroughbreds.aspx">www.dfa.arkansas.gov/offices/racingCommission/Pages/thoroughbreds.aspx</a>	Not found	
<b>California</b> <a href="http://www.chrb.ca.gov/rules_law.html">http://www.chrb.ca.gov/rules_law.html</a>	1596	Any Board licensee acting as either seller or purchaser, or their agents or representatives, of a racehorse, prospective racehorse, stallion, or brood mare, which is sold while within the inclosure of a racing association or fair licensed to conduct a live racing meeting, shall immediately <b>notify the stewards of such sale and transfer</b> .
<b>Colorado</b> <a href="http://www.colorado.gov/cs/Satellite/Rev-Racing/RRAC/1209030659304">http://www.colorado.gov/cs/Satellite/Rev-Racing/RRAC/1209030659304</a>	7.212	The entry of a horse must be in the name of its true ownership. Registration certificates and leases of horses shall be filed with the racing secretary within forty-eight (48) hours of the horse's arrival at the racetrack. All <b>transfers of ownership</b> of horses racing at a meet shall be <b>approved by the stewards</b> .
<b>Delaware</b> <a href="http://regulations.delaware.gov/AdminCode/title3/1000/1001/index.shtml#TopOfPage">http://regulations.delaware.gov/AdminCode/title3/1000/1001/index.shtml#TopOfPage</a>	Title 3 1001 6.1.5.	Each licensed owner is responsible for disclosure to the Commission or its designee of the true and entire ownership of each of his/her horses registered with the racing secretary. <b>Any change in ownership</b> or trainer of a horse registered with the racing secretary shall be <b>approved by the stewards</b> . Each owner shall comply with all licensing requirements.
<b>Florida</b> <a href="http://www.myfloridalicense.com/dbpr/pmw/statutes.html">www.myfloridalicense.com/dbpr/pmw/statutes.html</a>	Not found	
<b>Idaho</b> <a href="http://adminrules.idaho.gov/rules/current/11/index.html#Racing%20">http://adminrules.idaho.gov/rules/current/11/index.html#Racing%20</a>	11.04.10 - 140.01	Disclosure of Ownership. All ownerships in a horse must be filed with the Racing Secretary before the horse may start, as also must every change in ownership thereafter during the race meeting. Failure to disclose all ownerships may result in a fine or suspension, or both.
<b>Illinois</b> <a href="http://www.state.il.us/agency/irb/">www.state.il.us/agency/irb/</a>	Title 11 Ch I Sub Ch g Part 1409 Sec 1409.5	Any change in ownership of a horse or horses or leasehold or other interest therein shall be immediately made in Registration Book and additional affidavit filed if necessary.
<b>Indiana</b> <a href="http://www.in.gov/legislative/iac/title71.html">www.in.gov/legislative/iac/title71.html</a>	71IAC 5.5-2-1 Sec 1. (e)	Each licensed owner and trainer is responsible for disclosure to the commission or its designee of the true and entire ownership of each of his or her horses registered with the racing secretary. <b>Any change in ownership</b> of a horse registered with the racing secretary shall be <b>approved by the stewards</b> .
<b>Iowa</b> <a href="http://www.state.ia.us/irgc/Content.htm#top">www.state.ia.us/irgc/Content.htm#top</a>	491 - 10.5(99D) 10.5(1) a. (8)	... <b>Any change in ownership</b> shall be reported immediately to, and <b>approved by, the stewards</b> and recorded by the racing secretary. ...
<b>Kansas</b> <a href="http://krgc.ks.gov/index.php?id=25">http://krgc.ks.gov/index.php?id=25</a>	112-7-5 (c)	Each <b>sale or transfer of a horse</b> located at a racetrack facility shall not be final until <b>approved by the stewards</b> .
<b>Kentucky</b> <a href="http://www.lrc.ky.gov/kar/TITLE810.HTM">http://www.lrc.ky.gov/kar/TITLE810.HTM</a>	810 KAR 1:007 § 3. (6)	The stewards shall review the ownership of each horse entered to race to insure that each registration certificate is properly endorsed by the transferor to the present owner. The stewards may determine the validity for racing purposes of all leases, transfers, and agreements pertaining to ownership of a horse and may call for adequate evidence of ownership at any time. The stewards may declare ineligible to race any horse, the ownership or control of which, is in question.
<b>Louisiana</b> <a href="http://horseracing.la.gov/rules.html">http://horseracing.la.gov/rules.html</a>	§ 4503 A.	Every <b>change of ownership</b> or trainers of a horse during a race meeting must be <b>approved by the stewards</b> and every application therefor must be submitted on an official transfer form furnished by the commission, in triplicate, signed by both parties. ...

<b>Maryland</b> www.dsd.state.md.us/comar/subtitle_chapters/09_Chapters.aspx#Subtitle10	09.10.01.16 B. (1)	After a horse has been registered with the Racing Secretary, listing its owner, unless claimed at the meeting, the entry of the horse on behalf of a person other than the registered owner is not permitted until the <b>stewards receive proof of a change in ownership.</b>
<b>Massachusetts</b> http://www.mass.gov/ocabr/government/oca-agencies/src-lp/rules-and-regs-src/	Not found	
<b>Michigan</b> http://www.michigan.gov/mgcb/0,1607,7-120-57232_57204_57205---,00.html	Not found	
<b>Minnesota</b> http://www.mrc.state.mn.us/Racing/default.html	Not found	
<b>Montana</b> www.mtrules.org/gateway/ChapterHome.asp?Chapter=32.28	Not found	
<b>Nebraska</b> www.horseracing.state.ne.us/	Not found	
<b>Nevada</b> http://gaming.nv.gov/index.aspx?page=51	30.297 6.	Disclosure of the true and entire ownership of each horse in his care, custody or control. Any <b>change in ownership shall be reported immediately to the board of stewards</b> and recorded by the racing secretary;
<b>New Jersey</b>	////////////////////////////////////	////////////////////////////////////
<b>New Mexico</b> http://nmrc.state.nm.us/dyn/rules_reg_00.html	Title 16 Chpt 47 Part1 16.47.1.9 A. (5)	Licensed owners are responsible for disclosure of the true and entire ownership of each of their horses registered with the racing secretary. <b>Any change in ownership</b> or trainer of a horse registered with the racing secretary must be <b>approved by the stewards</b> . A new owner must comply with all licensing requirements.
<b>New York</b> www.racing.state.ny.us/racing/racing.home.htm	Not found	
<b>North Dakota</b> www.legis.nd.gov/information/acdata/html/Title69.5.html	Not found	
<b>Ohio</b> http://codes.ohio.gov/oac/3769	3769-5-21 (B)	No change in trainers of a horse, entered and drawn to start, will be permitted. In the event of such change the horse will be scratched and the parties responsible therefor shall be subject to fine or suspension. <b>A change in ownership</b> may be permitted with the <b>approval of the stewards</b> .
<b>Oklahoma</b> www.ohrc.org/rulesonline.html	41302.55903	Both the seller and the purchaser or their agents or representatives of a horse which is sold within the enclosure shall immediately <b>notify the Stewards</b> and the Racing Secretary <b>of such sale or transfer</b> .
<b>Oregon</b> http://arcweb.sos.state.or.us/rules/OARS_400/OAR_462/462_tofc.html	462-150-0020 (2)	After a horse has been registered with the racing secretary, it <b>may not be transferred</b> (unless claimed during the race meet) without <b>permission of the stewards</b> . A notarized bill of sale from the registered owner may be required before the stewards will give permission for the change of ownership, unless a commission official witnesses the signing of the bill of sale. The share of a part owner of any horse may not be sold or assigned without the consent of the other owners. The commission and/or stewards may declare a horse ineligible to race if the ownership or control of the horse is in question. Ownership changes may be made after entry at the discretion of the Board of Stewards, however, no horse may start in a race without the proper and current owner(s) listed on the foal certificate.
<b>Pennsylvania</b> http://www.pacode.com/secure/data/058/partIVtoc.html	§ 163.105 (a)	A horse is not permitted to start whose name and true ownership is not registered with the racing secretary. Transfers and sales shall be accurately recorded on the foal certificate at the time of the transfer or sale. Sales and transfers shall be deemed to be for consideration and to be clear except for encumbrances expressly noted on the bill of sale form approved by the Commission. A protest or claim may not be considered unless stated in detail upon the bill of sale.
<b>South Dakota</b> http://legis.state.sd.us/rules/DisplayRule.aspx?Rule=20:04:24:33.1:0:04	20:04:24:33.1.	<b>A transfer of the ownership of a horse</b> , including a claimed horse, during a race meet must be <b>approved by the presiding state steward or the executive secretary</b> .

<b>Texas</b> <a href="http://www.txrc.state.tx.us/laws/racing_rules.php">www.txrc.state.tx.us/laws/racing_rules.php</a>	Title 18 Part 8 Chapter 311 Subchapter B Rule §311.101 (c) (1)	If the owner of an interest in a horse housed on an association's grounds transfers that interest to another person, both parties to the transaction shall <b>give written notice of the transfer to the stewards officiating</b> for that association. Notice under this section must be submitted to the appropriate officials not later than 24 hours after the agreement to transfer the interest is made.
<b>Virginia</b> <a href="http://www.vrc.virginia.gov/racingrules.shtml">www.vrc.virginia.gov/racingrules.shtml</a>	Not found	
<b>Washington</b> <a href="http://www.whrc.wa.gov/?page=400">www.whrc.wa.gov/?page=400</a>	WAC 260-28-070	Before a horse may start, all persons with an ownership interest in the horse must be disclosed to the commission and racing secretary. (1) A trainer's entitlement to a percentage of a horse's winnings is not considered an ownership interest. (2) If ownership changes during the race meet, the new owner must notify the commission.
<b>West Virginia</b> <a href="http://www.wvracingcommission.com/wvrc_010.htm">www.wvracingcommission.com/wvrc_010.htm</a>	§178-1-25. 25.6. b. §178-1-25 25.6.j.	No horse entered in a race may be sold or transferred until after the race in which the horse is entered has been run unless otherwise permitted by the stewards.  Any transfer of ownership or change of a trainer in a stake, handicap, futurity or other special event must occur at least thirty (30) days before the event unless the transferor and transferee can satisfy the board of stewards that the transfer of ownership or change of a trainer was, in fact, an arms length transaction and not for the purpose of evading the coupling rules or any other rule of racing.
<b>Wyoming</b> <a href="http://parimutuel.state.wy.us/Rules.aspx">http://parimutuel.state.wy.us/Rules.aspx</a>	Not found	
<b>Ten jurisdictions have a rule that requires stewards' approval/permission for the change/transfer of ownership of a race horse</b>		
<b>Seven jurisdiction have a requirement in their rules that the stewards must be advised/notified/or reported to regarding any change/transfer of ownership.</b>		
<b>Five jurisdictions have some reporting or restrictions regarding change of ownership in their rules</b>		
<b>In ten jurisdictions no rule was found that restricted by stewards' approval, reporting to the stewards, or other restriction, the change/tranfer of ownership of a horse.</b>		