

- (4) all persons employed by such entities pursuant to (2) and (3) above, who are not licensed by the Commission shall hold a current pari-mutuel vendor employee license issued by the National Racing Compact; and
- (5) a consent by the applicant that as a condition of its license, it will comply with any applicable laws, these rules, and any directives issues by the Commission or its representatives.

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ARCI-004-020 Simulcast Wagering

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ARCI-004-024 Pari-Mutuel Wagering

The following requirements are applicable to APmWE licensed by the commission that offers pari-mutuel wagering and the totalisator systems they employ. These requirements are (in jurisdictions where legal) also, without specific reference, and to such organizations licensed or approved by other regulatory authority as a condition of commission approval of any agreement or contract for simulcasting and/or common pool wagering.

A. Pari-Mutuel Tickets

- (1) A pari-mutuel ticket is evidence of a contribution to the pari-mutuel pool and is evidence of the obligation to pay to the holder thereof such portion of the distributable amount of the pari-mutuel pool as is represented by such valid pari-mutuel ticket. The APmWE shall cash all valid winning tickets when such are presented for payment during the course of the meeting where sold, and for a specified period after the last day of the meeting.
- (2) To be deemed a valid pari-mutuel ticket, such ticket shall have been issued by a pari-mutuel ticket machine and recorded as a ticket entitled to a share of the pari-mutuel pool, and contain information as to:
 - (a) the name of the association operating the meeting.
 - (b) a unique identifying number or code.
 - (c) identification of the terminal at which the ticket was issued.
 - (d) a designation of the performance for which the wagering transaction was issued.
 - (e) the contest number for which the pool is conducted.
 - (f) the type or types of wagers represented.

- (g) the number or numbers representing the betting interests for which the wager is recorded.
 - (h) the amount or amounts including type of currency of the contributions to the pari-mutuel pool or pools for which the ticket is evidence.
- (3) No pari-mutuel ticket recorded or reported as previously paid, cancelled, or non-existent shall be deemed a valid pari-mutuel ticket by the APmWE. The APmWE may withhold payment and refuse to cash any pari-mutuel ticket deemed not valid, except as provided in D. of this rule (Claims for Payments from Pari-Mutuel Pool).

B. Pari-Mutuel Ticket Sales

- (1) Pari-mutuel tickets shall not be sold by anyone other than an association licensed to conduct pari-mutuel wagering.
- (2) No pari-mutuel ticket may be sold on a contest for which wagering has already been closed and no association shall be responsible for ticket sales entered into but not completed by issuance of a ticket before the totalisator is closed for wagering on such contest.
- (3) Claims pertaining to a mistake on an issued ticket, or a mistake involving failure to issue a ticket, must be made by the bettor prior to leaving the seller's window.
- (4) Cancellation or exchange of tickets issued shall not be permitted after a patron has left a seller's window except in accordance with written policies established by the APmWE and approved by the Commission.
- (5) Payment on winning pari-mutuel wagers shall be made on the basis of the order of finish as purposely posted and declared "official." Any subsequent change in the order of finish or award of purse money as may result from a subsequent ruling by the stewards or Commission shall in no way affect the pari-mutuel payout. If an error in the posted order of finish or payout figures is discovered, the official order of finish or payout prices may be corrected and an announcement concerning the change shall be made to the public.
- (6) The APmWE shall not satisfy claims on lost, mutilated, or altered pari-mutuel tickets without authorization of the Commission.
- (7) The APmWE shall have no obligation to enter a wager into a betting pool if unable to do so due to equipment failure.

C. Advance Performance Wagering

No APmWE shall permit wagering to begin more than one hour before scheduled post time of the first contest of a performance unless it has first obtained the authorization of the commission. This shall not preclude earlier common pool wagers in accordance with a contract with the host association that has been approved by the commission.

D. Claims for Payment from Pari-Mutuel Pool

At a designated location, a written, verified claim for payment from a pari-mutuel pool shall be accepted by the APmWE in any case where the APmWE has withheld payment or has refused to cash a pari-mutuel wager. The claim shall be made on such form as approved by the commission. The original of such claim shall be forwarded to the Commission within 48 hours.

- (1) In the case of a claim made for payment of a mutilated pari-mutuel ticket which does not contain the total imprinted elements required in A. of this rule (Pari-mutuel Tickets), the APmWE shall make a recommendation to accompany the claim forwarded to the commission as to whether or not the mutilated ticket has sufficient elements to be positively identified as a winning ticket.
- (2) In the case of a claim made for payment on a pari-mutuel wager, the commission shall adjudicate the claim and may order payment thereon from the pari-mutuel pool or by the APmWE, or may deny the claim, or may make such other order as it may deem proper.

E. Payment for Errors

If an error occurs in the payment amounts for pari-mutuel wagers, which are cashed or entitled to be cashed; and as a result of such error the pari-mutuel pool involved in the error is not correctly distributed among winning ticket holders, the following shall apply:

- (1) Verification is required to show that the amount of the commission, the amount in breakage, and the amount in payouts is equal to the total gross pool. If the amount of the pool is more than the amount used to calculate the payout, the underpayment shall be added to the corresponding pool of the next contest. If underpayments are discovered after the close of the meeting, the underpayment shall be held in an interest-bearing account approved by the Commission until being added, together with accrued interest, to the corresponding pool of the next meet.
- (2) Any claim not filed with the APmWE within 30 days, inclusive of the date on which the underpayment was publicly announced, shall be deemed waived; and the APmWE shall have no further liability therefore.
- (3) In the event the error results in an overpayment to winning wagers, the APmWE shall be responsible for such payment

F. Betting Explanation

A summary explanation of pari-mutuel wagering and each type of betting pool offered shall be published in the program for every wagering performance. The rules of racing relative to each type of pari-mutuel pool offered must be prominently displayed on association grounds and available upon request through association representatives.

G. Display of Betting Information

- (1) Approximate odds for Win pool betting shall be posted on display devices within view of the wagering public and updated at intervals of not more than 60 seconds for the current race of the performance.
- (2) The probable payout or amounts wagered, in total and on each betting interest, for other pools may be displayed to the wagering public at intervals and in a manner approved by the Commission.
- (3) Official results and payouts must be displayed upon each contest being declared official.

H. Cancelled Contests

If a contest is cancelled or declared "no contest", refunds shall be granted on valid wagers in accordance with these rules.

I. Refunds

- (1) Notwithstanding other provisions of these rules, refunds of the entire pool shall be made on:
 - (a) Win pools, Exacta pools, and first-half Double pools offered in contests in which the number of betting interests has been reduced to fewer than two (2).
 - (b) Place pools, Quinella pools, Trifecta pools, first-half Quinella Double pools, first-half Twin Quinella pools, first-half Twin Trifecta pools, and first-half Tri-Superfecta pools offered in contests in which the number of betting interests has been reduced to fewer than three (3).
 - (c) Show pools, Superfecta pools, and first-half Twin Superfecta pools offered in contests in which the number of betting interests has been reduced to fewer than four (4).
 - (e)(d) Pentafecta pools offered in contests in which the number of betting interests has been reduced to fewer than five (5).
- (2) Authorized refunds shall be paid upon presentation and surrender of the affected pari-mutuel ticket.

J. Coupled Entries and Mutuel Fields

- (1) Contestants coupled in wagering as a coupled entry or mutuel field shall be considered part of a single betting interest for the purpose of price calculations and distribution of pools. Should any contestant in a coupled entry or mutuel field be officially withdrawn or scratched, the remaining contestants in that coupled entry or mutuel field may remain valid betting interests and no refunds will be granted; or the stewards may order a refund for the entire betting interest. If all contestants

within a coupled entry or mutuel field are scratched, then tickets on such betting interests shall be refunded, notwithstanding other provisions of these rules.

- (2) For the purpose of price calculations only, coupled entries and mutuel fields shall be calculated as a single finisher, using the finishing position of the leading contestant in that coupled entry or mutuel field to determine order of placing. This rule shall apply to all circumstances, including situations involving a dead heat, except as otherwise provided by these rules.

K. Pools Dependent Upon Betting Interests

Unless the Commission otherwise provides, at the time the pools are opened for wagering, the association:

- (1) Shall offer win wagering on all contests with three (3) or more betting interests.
May offer win wagering on all contests with two (2) or more betting interests.
- (2) Shall offer place wagering on all contests with four (4) or more betting interests.
- (3) Shall offer show wagering on all contests with five (5) or more betting interests.
- (4) May offer quinella wagering on all contests with three (3) or more betting interests.
- (5) May offer quinella double wagering on all contests with three (3) or more betting interests.
- (6) May offer exacta wagering on all contests with two (2) or more betting interests.
- (7) May offer trifecta wagering on all contests with three (3) or more betting interests.
- (8) May offer superfecta wagering on all contests with four (4) or more betting interests.
- (9) May offer twin quinella wagering on all contests with three (3) or more betting interests.
- (10) May offer show quinella wagering on all contests with three (3) or more betting interests.
- (11) Shall not offer twin trifecta, tri-superfecta or twin trifecta wagering on any contests with six or less betting interests.

~~(11)~~(12) May offer Pentafecta wagering on all contests with five (5) or more betting interests.

L. Prior Approval Required For Betting Pools

- (1) An association that desires to offer new forms of wagering must apply in writing to the Commission and receive written approval prior to implementing the new betting pool.
- (2) The association may suspend previously-approved forms of wagering with the prior approval of the Commission. Any carryover shall be held until the suspended form

of wagering is reinstated. An association may request approval of a form of wagering or separate wagering pool for specific performances.

M. Closing of Wagering in a Contest

- (1) A commission representative shall close wagering for each contest after which time no pari-mutuel tickets shall be sold for that contest.
- (2) The APmWE shall maintain, in good order, a system approved by the commission for closing wagering.

N. Complaints Pertaining to Pari-Mutuel Operations

- (1) When a patron makes a complaint regarding the pari-mutuel department to an APmWE, the APmWE shall immediately issue a complaint report, setting out:
 - (a) the name of the complainant;
 - (b) the nature of the complaint;
 - (c) the name of the persons, if any, against whom the complaint was made;
 - (d) the date of the complaint;
 - (e) the action taken or proposed to be taken, if any, by the association.
- (2) The APmWE shall submit every complaint report to the Commission within 48 hours after the complaint was made.

O. Licensees – Duty to Report

All licensees shall report any known irregularities or wrong doings by any person involving pari-mutuel wagering immediately to the Commission and cooperate in subsequent investigations.

P. Unrestricted Access

The APmWE shall permit the Commission unrestricted access at all times to its facilities and equipment and to all books, ledgers, accounts, documents and records of the APmWE that relate to pari-mutuel wagering.

Q. Emergency Situations

In the event of an emergency in connection with the pari-mutuel department not covered in these rules, the pari-mutuel manager representing the APmWE shall report the problem to the stewards and the APmWE and the stewards shall render a full report to the Commission within 48 hours.

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ARCI-004-025 Interstate Common Pool Wagering

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